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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10596531
Filing Date	2006-06-15
First Named Inventor	Lutz Rapp
Art Unit	
Examiner Name	
Attorney Docket Number	112740-1141

## **U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6163399		2000-12-19	Berg	
	2	6341034		2002-01-22	Sun et al.	
	3	6368393		2002-04-02	Feulner et al.	
	4	6407854		2002-06-18	Shum	
	5	6414788		2002-07-02	Ye et al.	

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## **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010043389		2001-11-22	Bonnedal et al.	

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2	20030053200	2003-03-20	Goobar et al.
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**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	EP1079481	EP		2001-02-28	Lucent Technologies Inc.		<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	"Superior high speed automatic gain controlled erbium doped fiber amplifiers", Nakaji et al., Optical Fiber Technology 9 (2003) pages 25-35	<input type="checkbox"/>
	2	Mann, Shiffelgen, Froriep, "Einführung in die Regelungstechnik" Hanser-Verlag Muenchen, Vol. 7, 1997	<input type="checkbox"/>

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**INFORMATION DISCLOSURE  
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Application Number	10598531
Filing Date	2006-06-15
First Named Inventor	Lutz Rapp
Art Unit	
Examiner Name	
Attorney Docket Number	112740-1141

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Peter Zura/	Date (YYYY-MM-DD)	2006-09-15
Name/Print	Peter Zura	Registration Number	48196

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